



Attorney Docket No.: 080398.P503

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hawley K. Rising III, et al.

Application No.: 10/044,68

Filed: October 22, 2001

For: GRAPHICAL REWRITING SYSTEM  
FOR MULTIMEDIA DESCRIPTIONS

Examiner: Not Yet Assigned

Art Unit: 2671

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on

4.10.02

Date of Deposit

Jenny Vicente

Name of Person Mailing Correspondence

Jenny Vicente

Signature

4.10.02

Date

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)

In response to the Notice to File Missing Parts of Application (Filing Date Granted) mailed March 7, 2002, please find enclosed:

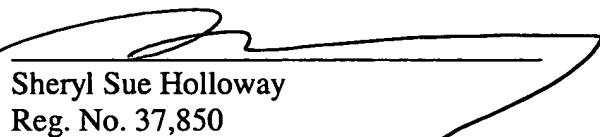
- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e); and
- (3) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated April 10, 2002

  
Sheryl Sue Holloway  
Reg. No. 37,850

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300



**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	<u>Fee Description</u>	<u>Fee Paid</u>
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
099	8,800	099	8,800	Request for inter parties reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	For filing a submission after final rejection (see 37 CFR 1.129(a))	
148	110	248	55	Statutory Disclaimer	
149	740	249	370	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
195	300	195	300	Publication fee for early, voluntary, or normal pub.	
196	300	196	300	Publication fee for republication	
194	130	194	130	Request for voluntary publication or republication	
098	130	098	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	
091	1,280	091	1,280	Acceptance of unintentionally delayed claim for priority	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 130.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Sheryl Sue Holloway

Signature: Sheryl Sue Holloway Date: APRIL 10, 2002

Reg. Number: 37,850 Telephone Number: (408) 720-8300



PTO/SB/17(09/00)

Approved for use through 10/31/2002. OMB 0651-0032

Approved for use through 10/31/2010 and 500 copies  
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required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL FOR FY 2002**

**TOTAL AMOUNT OF PAYMENT (\$)** **\$130.00**

**Complete if Known:**  
Application No. 10/044,168  
Filing Date 10/22/01  
First Named Inventor Hawley K. Rising III  
Group Art Unit 2671  
Examiner Name \*\*\*\*  
Attorney Docket No 080398.P503

**METHOD OF PAYMENT (check one)**

1. [  ] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

**Deposit Account Number** 02-2666  
**Deposit Account Name** \_\_\_\_\_

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

**Applicant claims small entity status. See 37 CFR 1.27**

2.  Payment Enclosed:   Check  
  Money Order  
  Other

## **FEE CALCULATION**

**1. BASIC FILING FEE**

<b>Large Entity</b>		<b>Small Entity</b>		<b>Fee Description</b>
<b>Fee</b>	<b>Fee</b>	<b>Fee</b>	<b>Fee</b>	
<b>Code</b>	<b>(\$)</b>	<b>Code</b>	<b>(\$)</b>	<b>Utility application filing fee</b>
<b>101</b>	<b>740</b>	<b>201</b>	<b>370</b>	<b>Design application filing fee</b>
<b>106</b>	<b>330</b>	<b>206</b>	<b>165</b>	<b>Plant filing fee</b>
<b>107</b>	<b>510</b>	<b>207</b>	<b>255</b>	<b>Reissue filing fee</b>
<b>108</b>	<b>740</b>	<b>208</b>	<b>370</b>	<b>Provisional application filing fee</b>
<b>114</b>	<b>160</b>	<b>214</b>	<b>80</b>	

**SUBTOTAL (1) \$** \_\_\_\_\_

**2. EXTRA CLAIM FEES**

	<u>Extra Claims</u>	<u>below</u>	<u>Fee Paid</u>
<b>Total Claims</b> _____	<b>- 20** =</b> _____	<b>X</b> _____	<b>=</b> _____
<b>Independent Claims</b> _____	<b>- 3** =</b> _____	<b>X</b> _____	<b>=</b> _____
<b>Multiple Dependent</b>			<b>=</b> _____

**\*\*Or number previously paid, if greater: For Reissues, see below.**

<u>Large Entity</u>		<u>Small Entity</u>		
Fee	Fee	Fee	Fee	<u>Fee Description</u>
Code	(\$)	Code	(\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$** \_\_\_\_\_

LOU 0-02

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Hawley K. Rising III )  
Serial No.: 10/044,168 )  
Filed: October 22, 2001 )  
For: Graphical Rewriting System for )  
Multimedia Descriptions )

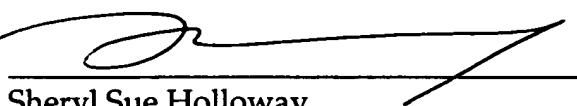
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

The post card included with the filing of the above-referenced application was returned to us without the "Official Date" stamp and assigned serial number. We subsequently received a Notice to File Missing Parts with the serial number 10/044,168, and a filing date of October 22, 2001. The filing date is correct as evidenced by the enclosed copy of the Express Mail receipt showing a "date-in" date of October 22, 2001. Applicant respectfully requests a copy of this letter be included in the file for the above-referenced application.

Respectfully submitted,  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: April 3 2002

  
Sheryl Sue Holloway  
Reg. No. 37,850

12400 Wilshire Boulevard  
7th Floor  
Los Angeles, California 90025  
408-720-8300

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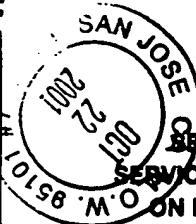
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TO:  **BLAKELY, SOKOLOFF,  
TAYLOR & ZAFMAN, LLP.  
1279 OAKMEAD PKWY  
SUNNYVALE CA 94085-4040**

MES/SSH/mzb

Sony P503

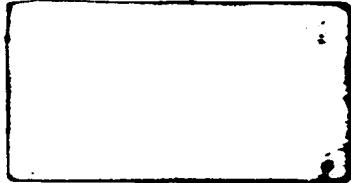
Date Mailed: **10/22/01**

TO: (PLEASE PRINT) **Box Patent Application  
ASSISTANT COMMISSIONER FOR  
PATENTS  
WASHINGTON DC 20231-9999**

FO.  
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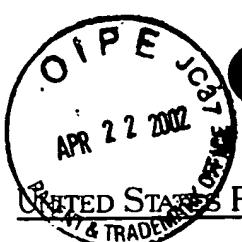
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/044,168	10/22/2001	Hawley K. Rising III	080398.P503

## CONFIRMATION NO. 1609

008791  
 BLAKELY SOKOLOFF TAYLOR & ZAFMAN  
 12400 WILSHIRE BOULEVARD, SEVENTH FLOOR  
 LOS ANGELES, CA 90025

## FORMALITIES LETTER



\*OC000000007593271\*

Date Mailed: 03/07/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

---

*A copy of this notice **MUST** be returned with the reply.*

Haimanot Tegbamu

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE